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CONFIRMATION NO. 8151

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.		
10/615,250	07/08/2003	705	3694	17209-515		
RULE						
APPLICANTS Yi Tso, Jersey City, NJ; John Vitha, North Woodmere, NY; Timothy Bridges, Summit, NJ; Michael Millette, Larchmont, NY; Radcliffe J. Smith, North Caldwell, NY; Richard Andrade, Holmdel, NJ;						
** CONTINUING DATA ***** This appln claims benefit of 60/394,388 07/08/2002						
** FOREIGN APPLICATIONS *****						
** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 10/14/2003						
Foreign Priority claimed	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Met after Allowance	STATE OR COUNTRY	SHEETS DRAWINGS	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119(a-d) conditions met	<input type="checkbox"/> Yes <input type="checkbox"/> No	Initials	NJ	1	35	3
Verified and Acknowledged	/Mary Cheung/ Examiner's Signature					
ADDRESS						
CHADBOURNE & PARKE LLP 30 ROCKEFELLER PLAZA NEW YORK, NY 10112 UNITED STATES						
TITLE						
Method and system for providing principal protection exposure to equity markets						
FILING FEE RECEIVED 1150	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		